

## Should mediastinoscopy actually be incorporated into the FDG PET strategy for patients with non-small cell lung carcinoma?

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**Background:** Incorporating mediastinoscopy (MS) into the PET-based strategy for non-small cell lung carcinoma (NSCLC) patients might be cost-effective because MS can allow unnecessary thoracotomies to be avoided. The objective of our study was to assess the cost-effectiveness of incorporating MS into a PET strategy for NSCLC patients. **Methods:** To determine life expectancy (LE), quality adjusted life years (QALY), and the incremental cost-effectiveness ratio (ICER), a decision-tree sensitivity analysis was designed for histopathologically confirmed NSCLC patients with M0 disease, based on the three competing strategies of chest CT only vs. PET + CT vs. PET + CT + MS. A simulation of 1,000 NSCLC patients was created using baselines of other relevant variables in regard to sensitivity, specificity, mortality, LE, utilities and cost from published data. One-way sensitivity analyses were performed to determine the influences of mediastinal metastasis prevalence on LE, QALY and ICER. **Results:** The LE and QALY per patient in the CT only strategy, PET + CT strategy and PET + CT + MS strategy were 4.79 and 4.35, 5.33 and 4.93 and 5.68 and 5.33 years, respectively, with a 20% prevalence of mediastinal metastasis. The ICERs were ¥906.6 × 10<sup>3</sup> (US\$7,555)/QALY/patient at a 20% mediastinal metastasis prevalence, and ¥2,194 × 10<sup>3</sup> (US\$18,282)/QALY/patient at a 50% prevalence, but exceeded ¥5,280 × 10<sup>3</sup> (US\$44,000)/QALY/patient at 80%. **Conclusions:** Our study quantitatively showed the CT + PET + MS strategy in place of the PET + CT strategy in managing NSCLC patients to be cost-effective. MS should be incorporated into the PET + CT strategy for NSCLC patients except in those highly suspected of having mediastinal disease on chest CT or PET.

**Key words:** lung cancer, diagnosis and staging, mediastinoscopy, positron emission tomography (PET)

### INTRODUCTION

ACCURATE MEDIASTINAL STAGING of patients with non-small cell lung carcinoma (NSCLC) is crucial because mediastinal metastasis (N2, N3 disease), in general, indicates an ominous prognosis and precludes surgical cure. Pa-

tients with mediastinal lymph node metastasis have an average 5-year survival of approximately 10%, as opposed to 50% for patients without mediastinal lymph node metastasis.<sup>1,2</sup>

Positron emission tomography (PET) is superior to CT for mediastinal staging of NSCLC. Mean sensitivity and specificity were 0.79 ± 0.03 and 0.91 ± 0.02, for PET and 0.60 ± 0.02 and 0.77 ± 0.02 for CT, respectively.<sup>3</sup> However, the positive predictive value of PET for mediastinal disease is approximately 65%,<sup>4–6</sup> while the negative predictive value of PET for mediastinal disease is reportedly greater than 95%.<sup>6,7</sup> More accurate procedures are needed to confirm nodal metastasis in order to avoid missing NSCLC patients potentially curable with thoracotomy among those with false positive nodes on 2-[<sup>18</sup>F]fluoro-2-deoxy-D-glucose (FDG) PET. Pretreatment practice

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guidelines for NSCLC patients have been formulated,<sup>8-10</sup> but routine clinical practice remains variable.

While PET shows accuracy in detecting mediastinal metastasis and is being used nationwide in Japan, mediastinoscopy (MS) has yet to be established as having a role in histopathologically determining mediastinal node status. In general, pulmonologists and thoracic surgeons in Japanese hospitals do not perform MS, or perform it less often in NSCLC patients, probably because MS is an invasive and expensive procedure. However, introducing MS into the PET-based diagnostic and therapeutic strategy for NSCLC patients might be cost-effective because MS allows some increase in the number of curative thoracotomies and improves life expectancy (LE) of NSCLC patients.

The objective of our study was to assess the cost-effectiveness of incorporating MS into an FDG PET strategy for NSCLC patients.

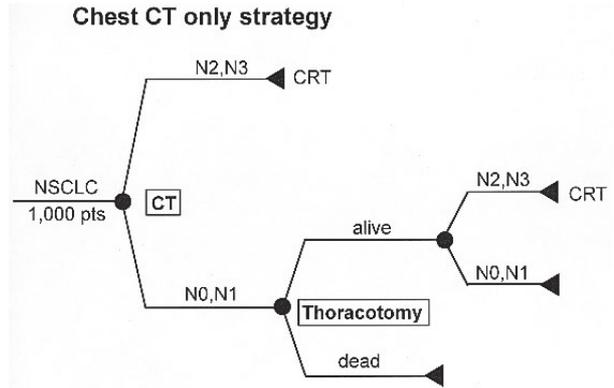
## MATERIALS AND METHODS

The study was built upon, revised, and expanded the analyses performed in our previously published reports on the cost-effectiveness of whole-body FDG PET in the management of patients with NSCLC.<sup>11,12</sup> To determine LE, quality adjusted life years (QALY) and the incremental cost-effectiveness ratio (ICER), a decision-tree sensitivity analysis was designed for NSCLC patients, based on the three competing strategies of chest CT only vs. a combination of chest PET and chest CT without MS (PET + CT) vs. a combination of chest PET, chest CT, and MS (PET + CT + MS). The ICER was defined as follows: the subtraction of cost-effectiveness ratios (cost/QALY/patient) in the PET + CT strategy from those in the PET + CT + MS strategy at each mediastinal metastasis prevalence examined.

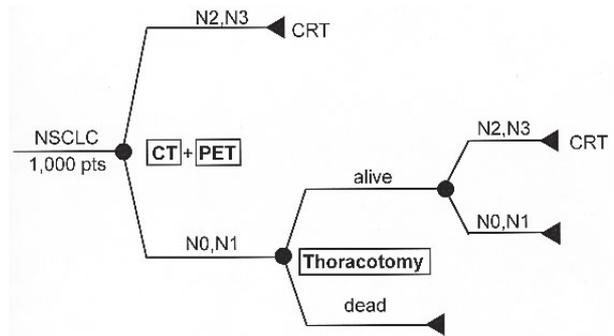
We assumed all NSCLC patients to be free of M1 disease and to have been histopathologically confirmed as having NSCLC. The ratio of N2 only to N3 was assumed to be 3 to 2, when multiple mediastinal lymph node metastases were present.<sup>13</sup>

A chest CT only strategy and a PET + CT strategy that modeled dependence upon chest contrast CT and chest FDG PET, respectively, were designed (Figs. 1, 2). With these strategies, only patients whose CT or PET studies were negative for N2 and N3 disease underwent thoracotomy. By contrast, patients with N2 or N3 disease on chest CT or PET studies were precluded from thoracotomy and underwent chemoradiotherapy instead.

In the PET + CT + MS strategy, patients with negative findings in the mediastinum on PET imaging were precluded from MS because of the high NPV of PET for mediastinal disease. On the other hand, only patients with positive mediastinal disease findings on PET underwent MS as part of the strategy because the PPV is inferior to the NPV in diagnosing mediastinal disease. Patients with



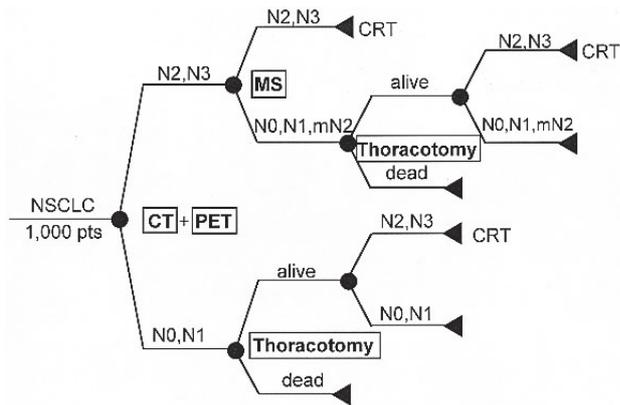
**Fig. 1** Decision-tree for the CT only strategy in a simulation of 1,000 NSCLC patients with M0. Note that NSCLC patients with N2, N3 disease, who are misdiagnosed as N0, N1 disease on CT, end up undergoing unnecessary thoracotomy. NSCLC patients with N0, N1 disease, who are misdiagnosed as N2, N3 disease on CT, end up missing curative thoracotomy. CRT = chemoradiotherapy.



**Fig. 2** Decision-tree for the PET + CT strategy in a simulation of 1,000 NSCLC patients with M0. Note that unnecessary and noncurative thoracotomies decrease in the PET + CT strategy because some NSCLC patients with N2, N3 disease, who are misdiagnosed as N0, N1 disease in the CT only strategy, are correctly diagnosed due to the high NPV of PET studies. CRT = chemoradiotherapy.

N2 or N3 disease in MS were precluded only from thoracotomy and underwent chemoradiotherapy, while patients without N2 and N3 disease and those with minimal N2 disease in MS underwent potentially curable thoracotomies because intranodal involvement in a single lymph node was established at mediastinal dissection<sup>14</sup> (Fig. 3). The role of induction therapy was not considered.

A simulation of 1,000 patients with NSCLC was created using baselines of other relevant variables, in regard to sensitivity, specificity, mortality, LE, utilities and cost, from published data.<sup>11,12,15-21</sup> The authors reviewed the published articles to determine eligibility. Articles selected for inclusion fulfilled the following criteria: (1) assessment of the diagnostic performance of FDG PET and CT for the detection of mediastinal node metastases from NSCLC, (2) comparison of imaging results with



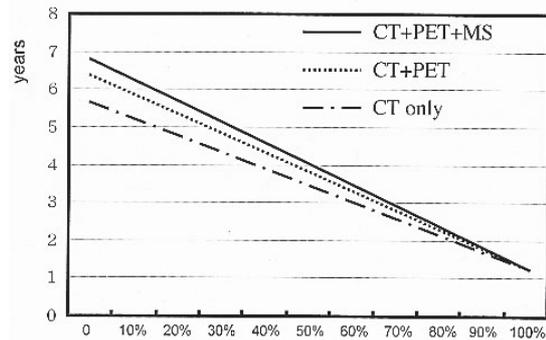
**Fig. 3** Decision-tree for the PET + CT + MS strategy in a simulation of 1,000 NSCLC patients with M0. Note that the N0, N1, mN2 arm is correctly diagnosed by MS. CRT = chemoradiotherapy. MS = mediastinoscopy.

**Table 1** Baseline of all relevant variables used in the decision trees. The variables were cited from the published references

Prevalence of lung cancer	100%
Prevalence of m. metastasis	0%–100%
Sensitivity for detecting m. metastasis in CT	60%
Specificity for detecting m. metastasis in CT	77%
Sensitivity for detecting m. metastasis in PET	79%
Specificity for detecting m. metastasis in PET	91%
Sensitivity for detecting m. metastasis in MS	90%
Specificity for detecting m. metastasis in MS	100%
Mortality (%)	
PET	0
CT	0.0025
Thoracotomy	3.0
Mediastinoscopy	0
Life expectancy (yr)	
N0, N1 patients with surgical cure	7.0
N0, N1 patients with CRT	2.0
N2 patients with CRT	1.45
N2 patients with surgical cure plus RT	1.62
N3 patients with CRT	0.8
Cost (yen)	
CT with contrast	35,800
FDG PET	80,300
Thoracotomy	1,600,000
MS	377,000
Health-state utilities	
Postoperative state	0.95
Radiotherapy	0.70
Chemoradiotherapy	0.60
Death	0.00

pathological diagnoses, (3) reporting of sufficient results with sensitivity, specificity, and accuracy, (4) use of established diagnostic criteria for abnormal test results. Sensitivities and specificities for the diagnosis of mediastinal disease were assumed to be 60% and 77%, for CT, 79% and 91%, for PET, and 90% and 100%, for MS, respectively<sup>3–12</sup> (Table 1). One-way sensitivity analyses

**Survival per patient in the CT only strategy vs. CT+PET strategy vs. the CT+PET+mediastinoscopy strategy**



**Fig. 4** Results of the one-way sensitivity analysis for mediastinal node metastasis prevalence values ranging from 0% to 100% on the life expectancy (years) per patient in the CT only strategy, PET + CT strategy, and PET + CT + MS strategy.

were performed to determine the influences of mediastinal metastasis prevalence on LE (years), QALY (years), and ICER (¥ or US\$).

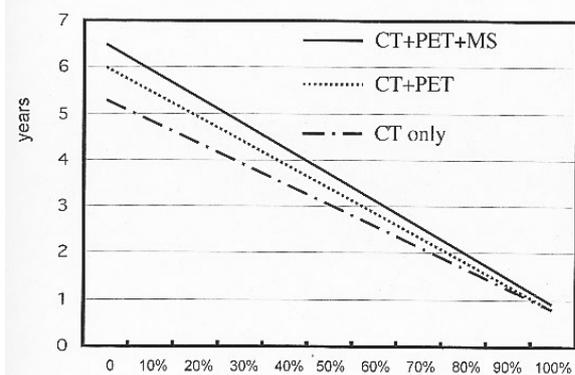
The medical examination costs in Japanese yen were based on revised established insurance reimbursement system bills. Overhead costs and extra costs related to examinations were not included in the current study. The cost in U.S. dollars was calculated at a yen-to-dollar conversion rate of ¥120 to \$1.

The present value is expressed as  $PV = C/(1+r)^t$ , where PV is the present value, C is the amount of money paid, r is the risk-adjusted discount rate, and t is the time period after which future costs are to be paid. Future costs and outcomes in our series were discounted 3%.

## RESULTS

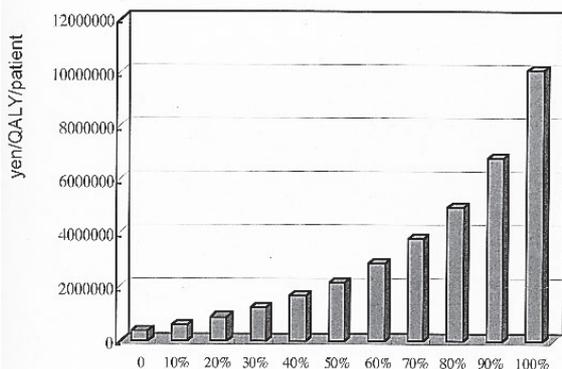
Figures 4 and 5 show the sensitivity analysis for mediastinal metastasis prevalences, ranging from 0% to 100%, on the LE (years) and QALY (years) for the three strategies. The PET + CT + MS strategy yielded the longest survival and best QALY across the prevalences, followed by the PET + CT strategy. However, the differences among the three strategies diminished as the mediastinal metastasis prevalence increased. The LE and QALY per patient in the CT only, PET + CT, and PET + CT + MS strategies were 4.79 and 4.35, 5.33 and 4.93 and 5.68 and 5.33 years, respectively, at a 20% prevalence of mediastinal metastasis. However, the LE and QALY per patient in the CT only, PET + CT, and PET + CT + MS strategies were 2.11 and 1.69, 2.24 and 1.79 and 2.38 and 1.98 years, respectively, at an 80% mediastinal metastasis prevalence. Figure 6 shows the ICEF (¥) for mediastinal metastasis prevalence, ranging from 0% to 100%, on the PET + CT strategy vs. the PET + CT + MS strategy. The ICER values increased as the mediastinal prevalence increased. The ICER values were  $¥906.6 \times 10^3$  (US\$7,555)/QALY/

**QALY per patient in the CT only strategy vs. the CT+PET strategy vs. the CT+PET+mediastinoscopy strategy**



**Fig. 5** Results of the one-way sensitivity analysis for mediastinal node metastasis prevalence values ranging from 0% to 100% on the QALY (years) per patient in the CT only strategy, PET + CT strategy, and PET + CT + MS strategy.

**Incremental cost-effectiveness ratio (cost/QALY/patient) in the CT+PET strategy vs. the CT+PET+mediastinoscopy strategy**



**Fig. 6** The ICER (¥) for mediastinal node metastasis prevalence values, ranging 0% to 100%, on the PET + CT strategy vs. PET + CT + MS strategy.

patient at a 20% mediastinal metastasis prevalence, and ¥1,294 × 10<sup>3</sup> (US\$18,282)/QALY/patient at a 50% prevalence, but exceeded ¥5,280 × 10<sup>3</sup> (US\$44,000)/QALY/patient at 80%. When the PET + CT + MS strategy was compared to the CT only strategy, the ICER values were ¥573.1 × 10<sup>3</sup> (US\$4,776)/QALY/patient at a 20% mediastinal metastasis prevalence, ¥1,051 × 10<sup>3</sup> (US\$8,757)/QALY/patient at 50%, and ¥2,580 × 10<sup>3</sup> (US\$21,498)/QALY/patient at 80%.

## DISCUSSION

In patients with a bulky mass or multilevel nodal involvement on CT or MR imaging, the assessment of N stage is not difficult. Central necrosis or extranodal cancer extension is highly suggestive of lymph node metastasis. In general, however, CT and MR imaging are limited in depicting small node metastasis, since both modalities

provide predominantly morphological information. McCloud et al. reported that 13% of nodes measuring <1.0 cm in evaluated patients with lung cancer were metastatic while one third of nodes 2–4 cm in diameter were benign.<sup>22</sup> Because of the limitations of size criteria in predicting lymph node status, pathologic confirmation is essential for true staging.

FDG PET, which has provided metabolic information and accurate staging in patients with NSCLC, is significantly more accurate than chest CT for demonstration of mediastinal nodal metastases.<sup>3</sup> However, with smaller nodal metastases the sensitivity of PET is decreased. Micrometastasis, which is defined as a metastasis measuring 2 mm or less in greatest dimension, cannot be demonstrated by PET.<sup>23</sup> In nodes with inflammatory or granulomatous disease, the specificity of PET is also decreased.<sup>24–27</sup> FDG PET, particularly when fused with CT, has a growing role in detecting the presence of disease in lymph nodes which appear normal with CT alone. Using pathological findings as the gold standard, the accuracy for N-staging was 94% for PET/CT, 89% for PET, and 64% for CT (p < 0.05).<sup>28</sup>

Owing to the aforementioned limitation, patients with positive mediastinal nodes on PET images should undergo a confirmatory procedure such as MS or bronchial biopsy before an attempt at curative thoracotomy is abandoned. MS has one great advantage over CT, MR imaging, and FDG PET, namely it provides a histopathological diagnosis of mediastinal nodes. Indications for MS reportedly include a serum CEA level >5.0 ng/dl and a largest primary tumor dimension >20 mm. However, MS should be avoided in NSCLC patients with a bulky mass or multilevel nodal involvement on CT and/or MR imaging.

Cervical mediastinoscopy is currently the best procedure for assessing the right and left paratracheal, pretracheal, right and left tracheobronchial, and subcarinal nodes. The subaortic and aortopulmonary window nodes can be reached by anterior mediastinoscopy. Video-assisted MS, if feasible, combined with video-assisted thoracoscopy, provides a wider view of the mediastinum with far fewer complications. The aforementioned techniques were considered in our study.

Several studies have attempted to identify the best strategy for staging the mediastinum in NSCLC patients.<sup>15,29–31</sup> However, these studies were designed and based, not on PET but on chest CT. FDG PET is now widely employed in Japan for cancer screening and staging. MS has been performed less frequently in Japan because it incurs additional costs and risks. Performing MS, not routinely but selectively based on the PET strategy, in NSCLC patients would be cost-effective since MS allows an increase in the number of curative thoracotomies and improves LE of NSCLC patients.

In our series, we principally compared the outcomes and cost-effectiveness of the two mediastinal staging

strategies, i.e., the newly developed PET-based strategy without and with MS for NSCLC patients. The mortalities, subsequent treatments and survivals associated with each strategy were included in the current study. Furthermore, to rigorously demonstrate cost-effectiveness and calculate QALY and ICER, we used a reference-based, decision-tree analysis, including revised Japanese insurance reimbursement system bills and discounting of costs and outcomes.

The LE difference between the CT only and PET + CT strategies was +0.54 years/patient, and that between the PET + CT and PET + CT + MS strategies was +0.35 years/patient at a 20% mediastinal metastasis prevalence, which is similar to the mediastinal metastasis prevalence of NSCLC patients with T1 and early stage T2. The QALY differences were greater than the survival differences. Since the estimated number of NSCLC cases in Japan for 2002 was 36,000,<sup>32</sup> the PET + CT + MS strategy would annually yield an increased LE of approximately 13,000 years for all Japanese NSCLC patients, as compared to the PET + CT strategy.

Our results show the PET + CT + MS strategy to yield the longest LE and best QALY among the three strategies, i.e., CT only, PET + CT and CT + PET + MS, though these differences among the strategies diminished as the mediastinal metastasis prevalence increased. From the economic standpoint, the ICER values in the PET + CT vs. the PET + CT + MS strategy were favorable with costs of  $¥906.6 \times 10^3$  (US\$7,555)/QALY/patient at a 20% mediastinal metastasis prevalence and of  $¥2,194 \times 10^3$  (US\$18,282)/QALY/patient at a 50% prevalence in NSCLC patients. The ICER values were much lower than the  $¥6,000 \times 10^3$  (US\$50,000)/QALY/patient obtained with the routine CT-based MS strategy without PET.<sup>15</sup> In our series, however, the ICER exceeded  $¥5,280 \times 10^3$  (US\$44,000)/QALY/patient at an 80% mediastinal metastasis prevalence. The CT + PET + MS strategy probably requires less than an 80% mediastinal metastasis prevalence. While a PET study and an MS procedure are generally expensive, the ICER values dropped by approximately half when the PET + CT + MS strategy vs. the CT only strategy was compared to the PET + CT + MS strategy vs. the PET + CT strategy.

*Study limitations:* The study may be criticized because a strategy of CT + MS was not explored. But MS after chest CT is actually performed less frequently by Japanese surgeons. The hypothesis of our study is based on the exclusion of thoracotomy for N2/3 patients. However, clinical treatment strategy always changes according to the up-to-date results, i.e., preoperative chemotherapy followed by resection.

The accuracy for FDG PET to diagnose mediastinal disease is variable. A few PET centers have accuracies lower than those we cited from the references, indicating the inadequacy to stage the mediastinum.<sup>33,34</sup> PET accuracy in detecting mediastinal nodal metastasis depends on

patient population with active inflammatory or granulomatous nodes, or definition of node metastasis (eg, abnormal lymph node uptake exceeding that of mediastinal blood pool). In our series, we assumed a brand-new, dedicated PET camera or PET/CT, interpreters with greater training and experience, superior data acquisition, and histopathologically confirmed NSCLC in the primary lesion.

An accomplished surgeon may feel that all NSCLC patients who are considered for operation should have mediastinoscopy regardless of PET findings.<sup>33,34</sup> However, most nuclear medicine physicians, particularly Japanese ones, view the staging of lung cancer from a different perspective because mediastinoscopy is an invasive and expensive study.<sup>35</sup>

In conclusion, our study quantitatively showed the CT + PET + MS strategy, in place of the CT + PET strategy in managing NSCLC patients, to be cost-effective. However, the CT + PET + MS strategy appears to require a less than 80% mediastinal metastasis prevalence. MS should be incorporated into the FDG PET strategy for patients with NSCLC, except those highly suspected of having mediastinal disease on chest CT or PET.

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